

# PARTITIONS INTO DISTINCT PARTS AND ELLIPTIC CURVES

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ABSTRACT. Let  $Q(N)$  denote the number of partitions of  $N$  into distinct parts. If  $\omega(k) := \frac{3k^2+k}{2}$ , then it is well known that

$$Q(N) + \sum_{k=1}^{\infty} (-1)^k (Q(N - 2\omega(k)) + Q(N - 2\omega(-k))) = \begin{cases} 1 & \text{if } N = \frac{m(m+1)}{2} \\ 0 & \text{otherwise.} \end{cases}$$

In this short note we start with Tunnell's work on the 'congruent number problem' and show that  $Q(N)$  often satisfies 'weighted' recurrence type relations. For every  $N$  there is a relation for  $Q(N)$  which may involve a special value of an elliptic curve  $L$ -function.

A positive integer  $D$  is called a 'congruent number' if there exists a right triangle with rational sidelengths with area  $D$ . Over the centuries there have been many investigations attempting to classify the congruent numbers, but little was known until Tunnell [T] brilliantly applied a tour de force of methods and provided a conditional solution to this problem. It turns out that a square-free integer  $D$  is not congruent if the coefficient of  $q^D$  in a certain power series is non-zero, and assuming the Birch and Swinnerton-Dyer Conjecture  $D$  is congruent if the coefficient of  $q^D$  is zero.

In this note we start with Tunnell's work and obtain weighted recurrence formulas for  $Q(N)$ , the number of partitions into distinct parts. We begin by defining the critical objects. Define integers  $b(n)$  by the infinite product

$$\sum_{n=1}^{\infty} b(n)q^n := q \prod_{n=1}^{\infty} (1 - q^{4n})^2 (1 - q^{8n})^2.$$

This is the Fourier series of a weight two modular form that is an eigenform of the Hecke operators. In particular this implies that (see [Ch. 3 §5, K])

$$(1) \quad b(n)b(m) = b(nm)$$

when  $\gcd(n, m) = 1$ . If  $D$  is a square-free integer, then let  $L(E(D), s)$  denote the Dirichlet series

$$(2) \quad L(E(D), s) := \sum_{n=1}^{\infty} \frac{\left(\frac{D}{n}\right) b(n)}{n^s}.$$

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Define integers  $a(n)$  by

$$(3) \quad \sum_{n=1}^{\infty} a(n)q^n := \left( q \prod_{n=1}^{\infty} (1 - q^{8n})(1 - q^{16n}) \right) \cdot \left( \sum_{n=-\infty}^{\infty} q^{2n^2} \right).$$

Using the theory of modular forms, if  $t$  is a square-free integer, then the following multiplicative property holds where  $\chi_{-1}$  is a usual Kronecker-Legendre quadratic character, and  $\mu$  is the usual Möbius function:

$$(4) \quad a(tm^2) = a(t) \sum_{d|m} \chi_{-1}(d) \mu(d) \left( \frac{t}{d} \right) b(m/d).$$

This follows easily from the theory of half-integral weight eigenforms (see [Ch. 4 §3, K]). This identity was also employed in [O]. For convenience if  $m$  is a positive integer, then define  $c(t, m)$  by

$$(5) \quad c(t, m) := \sum_{d|m} \chi_{-1}(d) \mu(d) \left( \frac{t}{d} \right) b(m/d).$$

In particular if  $t$  is a square-free integer, then  $a(tm^2) = a(t)c(t, m)$ .

If  $D$  is a positive odd square-free integer, then Tunnell proved [T] that

$$(6) \quad L(E(D), 1) = \frac{\Omega \cdot a(D)^2}{4\sqrt{D}}$$

where  $\Omega := \int_1^{\infty} (x^3 - x)^{-1/2} dx \sim 2.622 \dots$ . Tunnell proved that if  $D$  is a positive odd square-free integer for which  $a(D) \neq 0$ , then  $D$  is not congruent, and assuming the Birch and Swinnerton-Dyer Conjecture he showed that if  $a(D) = 0$ , then  $D$  is congruent.

We begin with some elementary results which are motivated by some observations made by T. Amdeberhan. Throughout the paper  $\omega(k)$  shall denote the  $k^{\text{th}}$  pentagonal number defined by  $\omega(k) := \frac{3k^2 + k}{2}$ .

**Theorem 1.** *If  $p \equiv 3 \pmod{4}$  is prime and  $8N + 1 = p^3 N'$  where  $N'$  is a positive integer coprime to  $p$ , then*

$$Q(N) = - \sum_{k=1}^{\infty} \left( (6k + 1)Q(N - 2\omega(k)) - (6k - 1)Q(N - 2\omega(-k)) \right).$$

**Theorem 2.** *If  $p \equiv 3 \pmod{4}$  is prime and  $8N + 3 = p^3 N'$  where  $N'$  is a positive integer coprime to  $p$ , then*

$$Q(N) = - \sum_{k=1}^{\infty} \left( (3k + 1)Q(N - 2\omega(k) - k) - (3k - 1)Q(N - 2\omega(-k) + k) \right).$$

*Proof of Theorems 1 and 2.* Using the well known identity

$$\sum_{n=-\infty}^{\infty} q^{n^2} = \prod_{n=1}^{\infty} \frac{(1 - q^{2n})^5}{(1 - q^n)^2 (1 - q^{4n})^2},$$

it turns out that

$$\sum_{n=1}^{\infty} a(n)q^n = q \prod_{n=1}^{\infty} (1 + q^{8n})(1 + q^{2n})^5(1 - q^{2n})^3.$$

Since  $\sum_{n=0}^{\infty} Q(n)q^n = \prod_{n=1}^{\infty} (1 + q^n)$ , by Macdonald's identity [M]

$$q \prod_{n=1}^{\infty} \frac{(1 - q^{6n})^5}{(1 - q^{3n})^2} = q \prod_{n=1}^{\infty} (1 + q^{3n})^5(1 - q^{3n})^3 = \sum_{0 < n \equiv 1, 2 \pmod{6}} nq^{n^2} - \sum_{0 < n \equiv 4, 5 \pmod{6}} nq^{n^2}$$

we find that

$$\sum_{n=0}^{\infty} a(8n+1)q^{8n+1} = \left( \sum_{n=0}^{\infty} Q(n)q^{8n+1} \right) \cdot \left( \sum_{n=0}^{\infty} (6n+1)q^{16\omega(n)} - \sum_{n=0}^{\infty} (6n+5)q^{16\omega(-n-1)} \right),$$

and

$$\begin{aligned} \sum_{n=0}^{\infty} a(8n+3)q^{8n+3} &= \left( \sum_{n=0}^{\infty} Q(n)q^{8n+3} \right) \\ &\cdot \left( \sum_{n=0}^{\infty} (6n+2)q^{16\omega(n)+8n} - \sum_{n=0}^{\infty} (6n+4)q^{16\omega(-n-1)-8n-8} \right). \end{aligned}$$

It suffices to prove that  $a(8N+1) = 0$  (resp.  $a(8N+3) = 0$ ). We may write  $8N+1 = p^3N_0m^2$  (resp.  $8N+3 = p^3N_0m^2$ ) for some odd integer  $m$  coprime to  $p$  and some square-free integer  $N_0$  coprime to  $p$ . Therefore by (4), (5), and (6) we find that

$$a(8N+1) = a(pN_0)c(pN_0, pm)$$

(resp.  $a(8N+3) = a(pN_0)c(pN_0, pm)$ ). Since  $b(p) = 0$ , which is easy to see since  $b(n) = 0$  for every  $n \equiv 3 \pmod{4}$ , it turns out that  $c(pN_0, pm) = 0$  by (1) and (5). Therefore  $a(8N+1) = 0$  (resp.  $a(8N+3) = 0$ ).

□

**Example 1.** Theorems 1 and 2 exhibit infinitely many arithmetic progressions for which the recurrence relations hold. For instance in Theorem 1 let  $p = 3$  and  $N' = 24N + 11$ . Therefore we find that for every positive integer  $N$  that

$$Q(81N + 37) = - \sum_{k=1}^{\infty} \left( (6k+1)Q(81N + 37 - 2\omega(k)) - (6k-1)Q(81N + 37 - 2\omega(-k)) \right).$$

Although such relations do not hold in general, one can precisely work out the deviation up to a choice of sign.

**Theorem 3.** *If  $8N + 1$  is a positive square-free integer and  $m$  is an odd integer, then*

$$\begin{aligned} Q\left(Nm^2 + \frac{m^2 - 1}{8}\right) &= \pm 2c(8N + 1, m) \sqrt{\frac{\sqrt{8N + 1} \cdot L(E(8N + 1), 1)}{\Omega}} \\ &- \sum_{k=1}^{\infty} \left( (6k+1)Q\left(Nm^2 + \frac{m^2 - 1}{8} - 2\omega(k)\right) - (6k-1)Q\left(Nm^2 + \frac{m^2 - 1}{8} - 2\omega(-k)\right) \right). \end{aligned}$$

**Theorem 4.** *If  $8N + 3$  is a positive square-free integer and  $m$  is an odd integer, then*

$$\begin{aligned} Q\left(Nm^2 + \frac{3m^2 - 3}{8}\right) &= \pm c(8N + 3, m) \sqrt{\frac{\sqrt{8N + 3} \cdot L(E(8N + 3), 1)}{\Omega}} \\ &\quad - \sum_{k=1}^{\infty} (3k + 1) Q\left(Nm^2 + \frac{3m^2 - 3}{8} - 2\omega(k) - k\right) \\ &\quad + \sum_{k=1}^{\infty} (3k - 1) Q\left(Nm^2 + \frac{3m^2 - 3}{8} - 2\omega(-k) + k\right). \end{aligned}$$

*Proofs of Theorem 3 and 4.* These results follow immediately from the proof of Theorems 1 and 2, and (4), (5) and (6). □

**Remark 1.** The author sees no ‘rule’ for deducing which sign must be chosen in front of the square-roots in Theorems 3 and 4. Obviously it suffices to find a rule for the signs of the  $a(N)$ ’s. Of course combinatorial interpretations of the  $a(N)$ ’s show that the signs come from taking the difference of two partition functions, but these are not simple functions of  $N$ .

**Example 2.** Here we apply Theorem 3 when  $N = 4$  and  $m = 1$ . The theorem asserts that

$$Q(4) = \pm 2 \sqrt{\frac{\sqrt{33} \cdot L(E(33), 1)}{\Omega}} - 7Q(0) + 5Q(2).$$

Using APECS (MAPLE elliptic curve package) one can easily find that  $L(E(33), 1) \sim 1.826\dots$ , and so the right hand side of the above equation becomes

$$\pm 2 \cdot \sqrt{4.000} - 7 \cdot 1 + 5 \cdot 1.$$

Since  $Q(4) = 2$  it is evident that the correct sign is  $+$ .

Now we apply the celebrated theorem of Coates and Wiles [CW] to deduce many cases for which the  $L$ -value does not appear in the recurrences.

**Corollary 1.** *If  $8N + 1$  is positive and there exist non-zero rational numbers  $x$  and  $y$  for which*

$$y^2 = x^3 - (8N + 1)^2 x,$$

*then for every odd integer  $m$*

$$\begin{aligned} Q\left(Nm^2 + \frac{m^2 - 1}{8}\right) &= \\ &\quad - \sum_{k=1}^{\infty} \left( (6k + 1) Q\left(Nm^2 + \frac{m^2 - 1}{8} - 2\omega(k)\right) - (6k - 1) Q\left(Nm^2 + \frac{m^2 - 1}{8} - 2\omega(-k)\right) \right). \end{aligned}$$

**Corollary 2.** *If  $8N+3$  is positive and there exist non-zero rational numbers  $x$  and  $y$  for which*

$$y^2 = x^3 - (8N + 3)^2x,$$

*then for every odd integer  $m$*

$$\begin{aligned} Q\left(Nm^2 + \frac{3m^2 - 3}{8}\right) = & \\ & - \sum_{k=1}^{\infty} (3k+1)Q\left(Nm^2 + \frac{3m^2 - 3}{8} - 2\omega(k) - k\right) \\ & + \sum_{k=1}^{\infty} (3k-1)Q\left(Nm^2 + \frac{3m^2 - 3}{8} - 2\omega(-k) + k\right). \end{aligned}$$

*Proofs of Corollary 1 and 2.* By the proof of Theorems 1 and 2 it is easy to see that it suffices to prove that  $a(8N+1) = 0$  (resp.  $a(8N+3) = 0$ ). However by (6) when  $8N+1$  (resp.  $8N+3$ ) is square-free this occurs if and only if  $L(E(8N+1), 1) = 0$  (resp.  $L(E(8N+3), 1) = 0$ ).

However if  $D$  is odd and square-free, then  $L(E(D), s)$  is the Hasse-Weil  $L$ -function for  $E(D)$ . (This is one of the principle facts that Tunnell uses in [T].) Consequently by the celebrated Coates-Wiles theorem [CW], if  $L(E(D), 1) \neq 0$ , then  $E(D)$  has only finitely many points  $(x, y)$  with rational coordinates.

Since  $E(D)$  has infinitely many points with rational coordinates if there exists a single  $(x, y) \in E(D)$  with non-zero rational coordinates, then by the celebrated Coates and Wiles theorem  $L(E(D), 1) = 0$  if there exists such an  $(x, y)$ . This also is an important fact employed in Tunnell's paper [T]. Therefore the result follows when  $8N+1$  (resp.  $8N+3$ ) is square-free.

If  $y^2 = x^3 - (Dm^2)^2x$  where  $D$  is odd and square-free, then the rational numbers  $X = x/m^2$  and  $Y = y/m^3$  satisfy

$$Y^2 = X^3 - D^2X.$$

Therefore by the Coates-Wiles theorem and (6) we find that  $a(D) = 0$ , and consequently that  $a(Dm^2) = 0$  by (4). This implies the result for those cases where  $8N+1$  (resp.  $8N+3$ ) is not square-free. □

**Example 3.** Here we apply Corollary 2 when  $N = 27$  and  $m = 1$ . It is easy to check that  $(x, y) = (-144, 1980)$  satisfies

$$y^2 = x^3 - 219^2x.$$

Therefore the corollary asserts that

$$Q(27) = -4Q(22) + 2Q(26) - 7Q(11) + 5Q(19) + 8Q(6) = 192.$$

A quick computation verifies that  $Q(27) = 192$ .

We conclude with more infinite families for which these recurrences involve no  $L$ -function values.

**Corollary 3.** *If  $N(i) := (8i + 7)(32i + 27)(32i + 29)$ , then for every positive integer  $i$  and every odd integer  $m$*

$$\begin{aligned} Q\left(\frac{N(i)m^2 - 1}{8}\right) = & \\ & - \sum_{k=1}^{\infty} (6k + 1)Q\left(\frac{N(i)m^2 - 1}{8} - 2\omega(k)\right) \\ & + \sum_{k=1}^{\infty} (6k - 1)Q\left(\frac{N(i)m^2 - 1}{8} - 2\omega(-k)\right). \end{aligned}$$

**Corollary 4.** *If  $M(i) := (8i + 5)(32i + 19)(32i + 21)$ , then for every positive integer  $i$  and every odd integer  $m$*

$$\begin{aligned} Q\left(\frac{M(i)m^2 - 3}{8}\right) = & \\ & - \sum_{k=1}^{\infty} (3k + 1)Q\left(\frac{M(i)m^2 - 3}{8} - 2\omega(k) - k\right) \\ & + \sum_{k=1}^{\infty} (3k - 1)Q\left(\frac{M(i)m^2 - 3}{8} - 2\omega(-k) + k\right). \end{aligned}$$

*Proofs of Corollaries 3 and 4.* By the proof of Corollaries 1 and 2 we may assume that  $m = 1$ . Corollary 3 follows immediately from Corollary 1 since

$$(x(i), y(i)) = \left( \frac{(32i + 28)^4 + 2(32i + 28)^2 + 1}{16}, \frac{(32i + 28)^6 - 5(32i + 28)^4 - 5(32i + 28)^2 + 1}{64} \right)$$

satisfies

$$y(i)^2 = x(i)^3 - (8i + 7)^2(32i + 27)^2(32i + 29)^2x(i).$$

Corollary 4 follows immediately from Corollary 2 since

$$(x(i), y(i)) = \left( \frac{(32i + 20)^4 + 2(32i + 20)^2 + 1}{16}, \frac{(32i + 20)^6 - 5(32i + 20)^4 - 5(32i + 20)^2 + 1}{64} \right)$$

satisfies

$$y(i)^2 = x(i)^3 - (8i + 5)^2(32i + 19)^2(32i + 21)^2x(i).$$

□

**Remark 2.** Theorems 1 and 2, and Corollaries 1-4 are recurrence relations which exist since (4) and the Coates and Wiles theorem allow us to deduce that  $a(n) = 0$  for suitable  $n$ . It would be extremely interesting to obtain combinatorial proofs of Theorems 1 and 2, and Corollaries 1-4 along the lines of various ‘involution’ type arguments as developed by Franklin, Garsia,

Joichi, Milne, Stanton and Zeilberger and others. In particular it would be very interesting to see a combinatorial proof of the fact that

$$a((2n + 1)(8n + 3)(8n + 5)) = 0$$

for every positive integer  $n$ .

**Remark 3.** It is curious to note that if  $D$  is an odd square-free integer for which  $E(D)$  has only finitely many points, then assuming the Birch and Swinnerton-Dyer Conjecture the order of the Tate-Shafarevich group  $\text{III}(E(D))$  (see [p. 330, T]) can be formulated in terms of  $Q(n)$ . Let  $\tau(N)$  denote the number of positive divisors of  $N$ . If  $D \equiv 1 \pmod{8}$  is a positive square-free integer, then

$$|\text{III}(E(D))| = \left( \frac{Q\left(\frac{D-1}{8}\right) + \sum_{k=1}^{\infty} \left( (6k+1)Q\left(\frac{D-1}{8} - 2\omega(k)\right) - (6k-1)Q\left(\frac{D-1}{8} - 2\omega(-k)\right) \right)}{\tau(D)} \right)^2.$$

If  $D \equiv 3 \pmod{8}$  is a positive square-free integer, then

$$|\text{III}(E(D))| = 4 \cdot \left( \frac{Q\left(\frac{D-3}{8}\right) + \sum_{k=1}^{\infty} \left( (3k+1)Q\left(\frac{D-3}{8} - 2\omega(k) - k\right) - (3k-1)Q\left(\frac{D-3}{8} - 2\omega(-k) + k\right) \right)}{\tau(D)} \right)^2.$$

In 1993 Granville informed the author that these conjectured orders were not even known to be integers. It would be very interesting if a combinatorial method can prove that these numbers are indeed integers.

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